



04-06-01

Attorney Docket No. YOR920000581US1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): J.L. Hellerstein et al.
Docket No.: YOR920000581US1
Serial No.: 09/731,937
Filing Date: December 7, 2000
Group: 2151
Examiner: Unassigned

"Express Mail" Label No. EF00682165345

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: April 5, 2001

Signature: Judy E. Ryan

Title: Method and System for Machine-Aided Rule Construction for Event Management

TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231
Box Missing Parts

Sir:

In response to the Notice to File Missing Parts dated February 14, 2001, Applicants submit herewith the following documents to complete the above-referenced patent application:

- (1) Declaration and Power of Attorney;
- (2) Assignment with Recordation Form Cover Sheet;
- (3) Transmittal of Formal Drawings; and
- (4) Formal Drawings (8 sheets).

A copy of the Notice is enclosed herewith. Please charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$130, to cover the surcharge under 37 CFR §1.16(e). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter is enclosed.

Respectfully submitted,

William E. Lewis

William E. Lewis
Reg. No. 39,274
Attorney for Applicant(s)

Date: April 5, 2001
Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, New York 11560
(516) 759-2946



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Patent Application

Applicant(s): J.L. Hellerstein et al.
Docket No.: YOR920000581US1
Serial No.: 09/731,937
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"Express Mail" Label No. EF00682165343
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: April 5, 2001

Signature: 

Title: Method and System for Machine-Aided Rule
Construction for Event Management

TRANSMITTAL OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

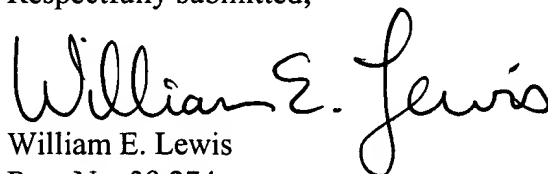
Attention: Official Draftsperson

Sir:

In response to the Notice to File Missing Parts dated February 14, 2001, Applicants submit herewith eight (8) sheets of formal drawings to complete the above-referenced patent application.

A copy of the Notice is enclosed herewith. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted,



William E. Lewis
Reg. No. 39,274
Attorney for Applicant(s)

Date: April 5, 2001
Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, New York 11560
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/731,937	12/07/2000	Joseph L. Hellerstein	YOR20000581US1

CONFIRMATION NO. 9790

FORMALITIES LETTER



OC000000005696407

William E. Lewis, Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, NY 11560

Date Mailed: 02/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/17/2001 ENINASS1 00000026 500510 09731937

FEES DUE

130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE